

Management View and Corrective Action Plan**Finding 2023-001 Eligibility**

Federal Grantor: Department of Health and Human Services, Health Resources and Services Administration (“HRSA”)

Pass-through Entity: City of Philadelphia, AIDS Activity Coordinating Office (“AACO”)

Assistance Listing Title: HIV Emergency Relief Project Grants – Outpatient/Ambulatory Medical Care

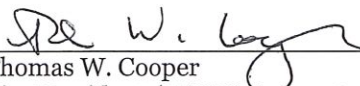
Assistance Listing Number: 93.914

Award Year: 2023

Award Number: RW2965

Pass-through Number: 2120575-01

While this finding is isolated to the Wood Clinic which ended its AACO program in November 2022, we have communicated the requirement to maintain supporting award documentation to all Penn Medicine practices continuing with Ryan White programs.



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Office of Research Services

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Finding 2023-002 Procurement, Suspension and Debarment

The University uses the Visual Compliance tool to provide dynamic screening of vendors in order to reduce administrative burden, eliminating the need to run screenings periodically, and to provide timely notification of any potential suspension and debarment issues with vendors. As part of the FY22 audit, we realized that during the transition from manual screening of vendors to the integration of Visual Compliance with our vendor system, the initial screening in Visual Compliance for certain vendors, appeared to have been missed. Therefore, in February 2023, the Procurement Office ran a batch screen on all active vendors missing the screening documentation in VC at that time; and no further action is needed as a result of the current finding. However, due to the timing of that corrective action plan, the 2 vendors, BMG Labtech Inc and Diagnostic Biochips Inc, had invoices paid in FY23 prior to the corrective action such that there was no evidence of their screening in our system at the time of payment.

A handwritten signature in black ink that reads "Elizabeth D. Peloso".

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