

HARVARD UNIVERSITY



Management's Views and Corrective Action Plan

2023-001 – Enrollment Reporting

Cluster: Student Financial Assistance

Sponsoring Agency: Department of Education

Award Name: Federal Pell Grant Program and Federal Direct Student Loans

Award Numbers: Not applicable

Assistance Listing Titles: Federal Pell Grant Program and Federal Direct Student Loans

Assistance Listing Numbers: 84.063 and 84.268

Award Year: 2022-2023

Pass-through entity: Not applicable

The enrollment reporting exceptions identified by PwC were isolated to one Harvard school and did not impact the loan repayment status for any student. The exceptions were the result of system reporting and management has completed corrective actions.

Program level enrollment effective date was addressed by correcting the enrollment reporting logic within the Harvard school's reporting system, Ellucian Banner. This updated logic now provides accurate program status effective dates in the National Student Clearinghouse (NSC) reporting file. Harvard successfully transmitted its first file with the updated logic to NSC in November 2023. As program level enrollment data is not used to initiate loan repayment or other loan status changes; these students were not negatively impacted.

Withdrawn versus graduation status issue was isolated to off-cycle graduation events in November and March. Although the final status was reported as withdrawn instead of graduated for these selections, there was no impact on the student's loan repayment or eligibility as we appropriately reported the initial separation event. Harvard implemented a "Graduates Only" NSC reporting file to correctly transmit the graduation status for these off-cycle graduates which will ensure compliance going forward.

Sincerely,

Amanda McDonnell
University Controller
617-495-8032