

**Rochester Institute of Technology
Management Response and Corrective Action Plan
For the fiscal year ended June 30, 2023**

RIT

Rochester Institute of Technology

Controller's Division
7 Lomb Memorial Drive
Rochester, NY 14623-5603

Rochester Institute of Technology
Management Response and Corrective Action Plan
OMB Uniform Guidance Audit for the fiscal year ended June 30, 2023

Finding 2023-001 - Non-Compliance with Timely Student Enrollment Change Submissions to the National Student Loan Data System (NSLDS)

Management agrees with the finding and in concurrence with the recommendations has developed and is implementing the following corrective action plans:

1. RIT will implement a process for students who are not expected to return in the fall semester and were enrolled in spring to update the enrollment status with the NSC, the third party that reports to the NSLDS for the University. The manual update to the NSC will be completed within 30 days from the date that RIT is notified that the student is confirmed to no longer be expected to return in the upcoming fall semester. This process will be implemented for the start of summer term 2024.
2. As of November 1, 2023, RIT has enhanced its degree certification process for late certifications to include the two steps which are now required by the NSC. RIT has also added to this process an additional verification to validate that the degree record is subsequently and correctly updated with the NSLDS.
3. The University has communicated with the helpdesk at the NSLDS to determine the reasons why the two identified records for which the student status changes were timely reported to the NSC; however, the data was not correctly captured by the NSLDS. The NSLDS has not been able to identify the root cause of the issue and are continuing to research the problem. They indicate that there is nothing that RIT can do to update these records at this time. Management concurs with the recommendation and will implement a periodic reconciliation processes between the NSLDS and the NSC to verify that the NSLDS timely and completely received communication of student changes. This will include a confirmation process for manual transactions with the NSC to ensure they were received by the NSLDS, which will begin January 2024.

Responsible Individual: Joseph Loffredo, Associate Vice President for Academic Affairs & Registrar



Joseph Loffredo
Associate Vice President for Academic Affairs & Registrar



Milagros Concepcion
Associate Vice President Controller and Assistant Treasurer